

VERMONT DEC
WATERSHED MANAGEMENT DIVISION



APPLICATION FOR INDIVIDUAL § 401 WATER
QUALITY CERTIFICATION

Section 1: Applicant Information			
Name:		Title or Position:	
Company Name:			
Mailing Address:		City:	State: Zip:
Phone:	Fax:	E-mail:	
Section 2: Alternate contact within your organization (not required)			
Name:		Title or Position:	
Mailing Address:		City:	State: Zip:
Phone:	Fax:	E-mail:	
Section 3: Consultant Information (a consultant is not required)			
Name:		Title or Position:	
Company Name:			
Mailing Address:		City:	State: Zip:
	Fax:	E-mail:	

→ Place a * next to the individual's name listed above that should be the primary contact during the application process ←

Section 4: Fee (check appropriate box and submit appropriate fee with application)
Amount of fee: No fee required <input type="checkbox"/>

Section 5: Project Details (fill in information and check appropriate boxes)	
Project / Site Name:	
Nearest City, Town or Major Landmark:	County:
Resource Proposed for Alteration: <input type="checkbox"/> Stream/River <input type="checkbox"/> Wetland <input type="checkbox"/> Lake/Pond/Reservoir Name of Resource:	Type(s) of proposed alteration(s): <input type="checkbox"/> Road Crossing <input type="checkbox"/> Utility Line <input type="checkbox"/> Intake/Outfall Structure <input type="checkbox"/> Stream or Wetland Restoration <input type="checkbox"/> Wetland Fill/Excavation <input type="checkbox"/> Dredging <input type="checkbox"/> Launch Ramp <input type="checkbox"/> Bank Stabilization <input type="checkbox"/> Hydroelectric Operation <input type="checkbox"/> Impoundment <input type="checkbox"/> Other:

Latitude: (decimal degrees, NAD83):		
Longitude: (decimal degrees, NAD83):		

Brief Project Description (a more detailed description is requested in Section 8):

Do any other alterations require approval from any other state, federal, or local government agency associated with the project site? If yes, provide brief description and status of approval and permit/application numbers.

Section 6: Directions to Project Site

Section 7: Project Schedule (fill in information and check appropriate boxes).

How long will it take to perform the proposed activity?

Is any portion of the activity complete now? Yes No

If yes, describe the extent of the completed portion below:

The required information in sections 8 -12 must be submitted on a separate sheet(s) and submitted in the same numbered format as presented. If you believe that a certain request does not pertain to your project, explain the reason. This information may be submitted electronically.

Section 8: Project Description

8.1 A narrative description of the scope of the project

8.2 USGS topographic map indicating the exact location of the project (can be photographic copy)

8.3 Photographs of the resource(s) proposed for alteration with location description (photo locations should be noted on map)

8.4 A narrative description of the existing stream, lake and/or wetland characteristics including, but not limited to, dimensions (e.g., depth, length, average width), substrate and riparian vegetation

8.5 A narrative description of the proposed stream, lake and/or wetland characteristics including, but not limited to, dimensions (e.g., depth, length, average width), substrate and riparian vegetation

8.6 In the case of wetlands, include a wetland delineation with delineation forms and site map denoting location of data points

Section 9: Purpose and Justification

9. Describe the purpose for the proposed activity and overall project

Section 10: Alternatives

10. Describe all alternatives considered, including what has been done to avoid or minimize impacts to streams, wetlands and lakes/ponds/reservoirs.

Section 11: Mitigation

- 11.1 A detailed discussion of any proposed mitigation.
- 11.2 If you believe mitigation is not required, state the reason or cite the regulation to support this position

Section 12: Technical and Other Required Information

- 12.1 Detailed plans, blueprints, or legible sketches of present site conditions and the proposed activity. Plans must be 8.5 x 11 inches. Additional larger plans may also be submitted to aid in application review. The detailed plans need to include dimensions of the existing and proposed stream or wetland such as depth, length, average width, substrate and riparian vegetation.
- 12.2 If mitigation is proposed, submit detailed plans, blueprints, or legible sketches of the proposed mitigation
- 12.3 For both the proposed activity and mitigation, provide a discussion and timeline of the proposed project sequencing
- 12.4 Location and type of erosion prevention and sediment control measures for the proposed alterations
- 12.5 A discussion on how the proposed activity will be performed (construction methods)
- 12.6 Provide any required discharge-specific materials (See Permit Application Guide for §401 Water Quality Certification)
- 12.7 Provide information necessary for project review under Vermont's Anti-Degradation Policy (See Permit Application Guide for §401 Water Quality Certification)

Section 13: Certification and Signature:

I certify under penalty of law that this document and all attachments were prepared at my request or under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

I recognize that by signing this application, I am giving consent for the Commissioner of the Department, or a duly authorized representative, at reasonable times and upon presentation of credentials, to enter upon and inspect the subject property to verify information in, and process, the §401 application.

Printed Name	Official Title	Signature	Date
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Section 14: Where do I send my application?

Vermont Department of Environmental Conservation
 Watershed Management Division
 103 South Main Street, Building 10 North
 Waterbury, Vermont 05671-0408

Section 15: Administrative Information (Official Use Only).

Date Received:	File# assigned	Fee paid: <input type="checkbox"/> No	Application administratively complete: Yes <input type="checkbox"/> No <input type="checkbox"/>	Technically Complete Application date:
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